

### Clinical Policy: Home and Community Based Services (HCBS)

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Coding Implications
Revision Log

See <u>Important Reminder</u> at the end of this policy for important regulatory and legal information.

**Note:** These requirements apply to Arkansas Total Care (ARTC) 1915(i) Behavioral Health, Home, and Community-Based Services (HCBS) State Plan Amendment for Provider-led Arkansas Shared Savings Entity (PASSE).

#### **Description**

Arkansas Total Care (ARTC) is committed to ensuring that all behavioral health services under the 1915(i) Home and Community Based Services (HCBS) State Plan Amendment are provided with the intention to address the member/enrollee's identified functional deficits due to their behavioral health diagnosis and are rehabilitative in nature. Services are provided to adults and children/youth with serious mental illness, intellectual or developmental disability with a behavioral health diagnosis or serious emotional disturbance.<sup>1</sup>

HCBS are an alternative to members/enrollees receiving services in institutional settings such as hospitals and residential facilities. Members/Enrollees receive services in their home and community. The PASSE program is a Medicaid Risk Based Provider Organization serving Arkansans with the highest level of need. PASSEs work with providers across the state to coordinate services for PASSE members.<sup>2</sup>

#### **Medical Necessity Criteria Table of Contents**

|  | Page |
|--|------|
| Base Level Services                          |      |
| Supportive Housing                           | 2    |
| Supportive Life Skills Development           | 3    |
| Adult Life Skills Development (individual or | 4    |
| group)                                       |      |
| Supportive Employment                        | 4    |
| Respite (15 min)                             | 5    |
| Respite (per diem)                           | 5    |
| <u>Therapeutic Host Home</u>                 | 6    |
| Pharmacologic Counseling by RN               | 6    |
|  |      |
| Intensive Level Services                     |      |
| Peer Support                                 | 7    |
| Family Support Partners                      | 8    |
| Child and Youth Support Services             | 8    |
| Behavioral Assistance                        | 9    |
| Aftercare Recovery Support (substance        | 10   |
| abuse)                                       |      |





| <u>Crisis Stabilization Intervention</u> | 11 |
|--|----|
|  |    |
| Enhanced Level Services                  |    |
| Partial Hospitalization                  | 11 |
| Substance Abuse Detox (Observational)    | 12 |
| Adult Rehabilitative Day Service         | 13 |
| Therapeutic Communities                  | 14 |
| Therapeutic Community Level One          | 14 |
| Therapeutic Community Level Two          | 15 |
| Residential Community Reintegration      | 17 |
| <u>Team Based Services</u>               | 18 |
| Assertive Community Treatment (ACT)      | 18 |
| Intensive In-Home Services               | 22 |

#### Policy/Criteria

- I. It is the policy of Arkansas Total Care that requests for Behavioral Health, Home, and Community Based Services (HCBS) under the 1915(i) State Plan Amendment that Community Support System Providers (CSSP), or Behavioral Health Agencies (BHAs) must meet the following, based on level certification, documentation requirements and service code definitions within the Home and Community Based Services manual. There are three levels of CSSP certifications: Base, Intensive, Enhanced.
  - A. Base Level Services, any of the following:
    - 1. **Supportive housing**: Designed to ensure that members/enrollees have a choice of permanent, safe, and affordable housing. An emphasis is placed on the development and strengthening of natural supports in the community. This service assists beneficiaries in locating, selecting, and sustaining housing, including transitional housing and chemical free living; provides opportunities for involvement in community life; and fosters independence with member/enrollee's recovery journey;
      - a. Admission/initial request meets all the following:
        - i. Member/enrollee is 18 to 99 years of age;
        - ii. Requested service meets the following:
          - a) Assesses the member/enrollee's housing needs and presenting options, assisting in securing housing, including the completion of housing applications and securing required documentation (e.g., Social Security card, birth certificate, prior rental history), communicating with landlords, coordinating the move, providing training in how to be a good tenant, and establishing procedures and contacts to retain housing;
          - b) Services are provided in any of the following settings:
            - 1) Individuals home;
            - 2) Community setting (school, work, church, stores, or parks);
            - 3) Clinical settings for adults (similar to adult day cares or adult day clinics);
        - iii. Frequency of services: up to 30 units (one unit = 60 minutes) per three-month (13 week) review. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;





- b. Continued Stay request meets all the following:
  - i. Meets requirements in admission/initial request for supportive housing section I.A.1.a., (except for frequency requirements);
  - ii. Documentation is provided of the plan of care and the last three notes of the service provided;
  - iii. Requested additional frequency is for up to an additional 60 units (one unit = 60 minutes) per six-month (26 week) renewal period. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
- 2. **Supportive Life Skills Development**: Provides support and training for youth and adults on an individual or group basis. This service is a strength based, culturally appropriate process that integrates the member/enrollee into their community as they develop their recovery plan or habilitation plan. This service is designed to assist members/enrollees in acquiring the skills needed to support an independent lifestyle, enable them to reside in their community (in their own home, with family, or in an alternative living setting), and promote a strong sense of self-worth. In addition, it aims to assist members in setting and achieving goals, learning independent life skills, demonstrating accountability, and making goal-oriented decisions related to independent living;
  - a. Admission/initial request meets all the following:
    - i. Member/enrollee is 16 to 20 years of age;
    - ii. Requested service meet the following:
      - a) Provides educational or vocational training;
      - b) Assists with obtaining employment;
      - c) Provides resource and medication management;
      - d) Promotes self-care, household maintenance, health, socialization, community integration, wellness, and nutrition;
      - e) Promotes a strong sense of self-worth;
      - f) For members/enrollees with developmental or intellectual disability and a behavioral need the services focus on:
        - 1) Skills to complete activities of daily living (ADLs);
        - 2) Instrumental activities of daily living (IADLs), such as communication, bathing, grooming, cooking, shopping, or budgeting;
    - iii. Frequency of services: up to 73 units (one unit = 15 minutes) per three-month (13-week) review. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
  - b. Continued stay request meets all the following:
    - i. Meets requirements in admission/initial request for supportive life skills development section I.A.2.a. (except for frequency);
    - ii. Documentation is provided of the plan of care and the last three notes of the service provided;
    - iii. Requested additional frequency: Up to an additional 146 units (one unit = 15 minutes) for each six-month (26 week) renewal period. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;





- 3. Adult Life Skills Development: Provides support and training for adults on an individual or group basis. This service is a strength based, culturally appropriate process that integrates the member/enrollee into their community as they develop their recovery plan or habilitation plan. This service is designed to assist members/enrollees in acquiring the skills needed to support as independent a lifestyle as possible, enable them to reside in their community (in their own home, with family, or in an alternative living setting), and promote a strong sense of self-worth. In addition, it aims to assist members/enrollees in setting and achieving goals, learning independent life skills, demonstrating accountability, and making goal-oriented decisions related to independent living;
  - a. Admission/initial request meets all the following:
    - i. Member/Enrollee is 18 to 99 years of age;
    - ii. Requested service meets the following:
      - a) Provides educational or vocational training;
      - b) Assists with obtaining employment;
      - c) Provides resource and medication management;
      - d) Promotes self-care, household maintenance, health, socialization, community integration, wellness, and nutrition;
      - e) Promotes a strong sense of self-worth;
      - f) For members/enrollees with developmental or intellectual disability and a behavioral health diagnosis services focus on:
        - 1) Skills to complete activities of daily living (ADLs);
        - 2) Instrumental activities of daily living (IADLs), such as communication, bathing, grooming, cooking, shopping, or budgeting;
    - iii. Frequency of services: up to 73 units (one unit = 15 minutes) are requested per three-month (13 week) review. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
  - b. Continued stay request meets all the following:
    - i. Meets requirements in admission/initial request for adult life skills development section I.A.3.a., except for frequency;
    - ii. Documentation is provided in the plan of care and the last three notes of the service provided;
    - iii. Requested additional frequency: Up to an additional 146 units (one unit = 15 minutes) for each six-month (26 week) renewal period. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
- 4. **Supportive Employment**: Designed to help members/enrollees acquire and keep meaningful jobs in a competitive job market. The service actively facilitates job acquisition by sending staff to accompany members/enrollees on interviews and providing ongoing support and/or on the job training once employed. Service settings may vary depending on individual need and level of community integration, and can be provided in the home;
  - a. Admission/initial request meets all the following:
    - i. Requested service meets the following:
      - a) Service can be provided in a variety of settings to include community, job site, home, etc. If services are provided in the home it should be to foster



independence in the community setting and may include training in a variety of areas to include food preparation, housekeeping, budgeting, etc;

- ii. Frequency of services: up to 60 units (one unit = 60 minutes) are requested per three-month (13 week) review. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guidelines;
- b. Continued stay meets all the following:
  - i. Meets requirements in admission/initial request for supportive employment services, I.A.4.a., except for frequency;
  - ii. Documentation is provided in the plan of care and the last three notes of the service provided;
  - iii. Requested additional frequency: Up to an additional 120 units (one unit = 60 minutes) for each six-month (26 week) renewal period. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
- 5. **Respite (15 min unit)**: Temporary direct care and supervision for a member/enrollee due to the absence or need for relief of the non-paid primary caregiver. Respite can occur at medical or specialized camps, day-care programs, the member/enrollee's home or place of residence, the respite care provider's home or place of residence, foster homes, or a licensed respite facility);
  - a. Admission/Initial request meets all the following:
    - i. Requested service meets the following:
      - a) Relieves the member/enrollee's principal caregiver of the member/enrollee with a behavioral health need so that stressful situations are de-escalated, and the caregiver and member/enrollee have a therapeutic and safe outlet;
      - b) Temporary in nature;
    - ii. Frequency of services: up to 96 units (one unit = 15 minutes) are requested for a three-month (13 week) review. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
  - b. Continued stay request meets all the following:
    - i. Meets requirements in admission/initial request for respite outpatient services I.A.5.a., except for frequency;
    - ii. Documentation is provided of the plan of care and the last three notes of the service provided;
      - a) Requested additional frequency: Up to an additional 192 units (one unit = 15 minutes) for each six-month (26 week) renewal period. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
- 6. **Respite (per diem):** Temporary direct care and supervision for a member/enrollee due to the absence or need for relief of the non-paid primary caregiver. Respite can occur at medical or specialized camps, day-care programs, the member/enrollee's home or place of residence, the respite care provider's home or place of residence, foster homes, or a licensed respite facility. Respite does not have to be listed in the Parent Centered Service Plan (PCSP);
  - a. Admission/Initial request meets all the following:
    - i. Requested service meets the following:



- a) Relieves the member/enrollee's principal care giver of the member/enrollee with a behavioral health need so that stressful situations are de-escalated, and the caregiver and member/enrollee can have a therapeutic and safe outlet;
- b) Temporary in nature. Note: Services provided for less than 15 days will be deemed temporary. Services provided for more than 15 days require the review of the PCSP;
- c) Frequency of services: up to five units (one unit =one day) are requested per review;
- b. Continued stay request meets all the following:
  - i. Meets requirements in admission/initial request for respite services I.A.6.a. except for frequency;
  - ii. Documentation is provided of the plan of care and the last three notes of the service provided;
  - iii. Requested additional frequency: Up to five units (one unit =one day)
- 7. **Therapeutic Host Home**: A home or family setting that that consists of highly intensive, individualized treatment for the member whose behavioral health or developmental disability needs are severe enough that they would be at risk of placement in a restrictive residential setting.
  - a. Admission/Initial request meets all the following:
    - i. Member/enrollee is 4 to 20 years of age;
    - ii. Requested service meets the following:
      - a) The therapeutic host parent is trained to implement the key elements of the member/enrollee's Parent Centered Service Plan (PCSP) in the context of family and community life, while promoting the PCSP's overall objectives and goals;
      - b) The therapeutic host parent is present at the PCSP development meetings and acts as an advocate for the member/enrollee;
    - iii. Frequency of services: up to 90 days (one unit=one day) requested;
  - b. Continued stay request meets all the following:
    - i. Meets requirements in admission/initial request for therapeutic host home, I.A.7.a.;
    - ii. Documentation is provided of the plan of care and the last three notes of the service provided;
      - a) Requested additional frequency: Up to an additional 90 days (one unit = one day) for each renewal period. Note: All HCBS services are included in this per diem service; no additional HCBS may be billed on the same day;
- 8. **Pharmacologic Counseling by a RN**: A specific, time limited one-to-one intervention by a nurse with a member/enrollee and/or caregivers, related to their psycho-pharmacological treatment;
  - a. Admission/Initial request meets all the following:
    - i. Provides medication information orally or in written form to the member/enrollee and/or caregivers;
    - Encompass all the parameters to make the member/enrollee and/or family understand the diagnosis prompting the need for the medication and any lifestyle modification required;

#### **Home and Community Based Services**



- iii. Frequency of services: up to six encounters requested for a six-month (26 week) period. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
- b. Continued stay request meets all the following:
  - i. Meets requirements in admission/initial request for Pharmacologic Management by a RN in I.A.8.a., except for frequency.
  - ii. Documentation is provided of the plan of care and the last three notes of the service provided;
  - iii. Requested additional frequency: Up to an additional six encounters (one unit = one encounter) for each six-month (26 week) renewal period. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;

#### B. Intensive level services, any of the following:

- 1. **Peer Support:** A consumer centered service provided by individuals (ages 18 and older) who self-identify as someone who has received or is receiving behavioral health services and thus is able to provide expertise not replicated by professional training. Peer providers are trained and certified peer specialists who self-identify as being in recovery from behavioral health issues. Peer support is a service to work with beneficiaries to provide education, hope, healing, advocacy, self-responsibility, a meaningful role in life, and empowerment to reach fullest potential. Specialists will assist with navigation of multiple systems (housing, supportive employment, supplemental benefits, building/rebuilding natural supports, etc.) which impact beneficiaries' functional ability;
  - a. Admission/Initial review meets all the following:
    - i. Member/enrollee is 18 to 99 years of age;
    - ii. Treatment plan signed by the licensed mental health professional or provide a recommendation letter from the licensed mental health professional for the requested services and evaluations;
    - iii. Requested service meets all the following:
      - a) Has a clinical component and at minimum, a quarterly individual/family therapy service;
      - b) Provided on an individual or group basis, and in either the member/enrollee's home or community environment;
      - c) Assists the member/enrollee in articulating their goals for recovery, learning, and practicing new skills, helping them monitor their progress, assisting them in their treatment, modeling effective coping techniques, and self-help strategies based on the specialist's own recovery experience, and supporting them in advocating for themselves to obtain effective services;
    - iv. Frequency of services: Up to 52 units (one unit =15 mins) are requested per three-month (13 week) review. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
  - b. Continued stay request meets all the following:
    - i. Meets requirements in admission/initial request for peer support I.B.1.a., except for frequency;



- ii. Documentation is provided of the most recent treatment plan, the last three notes of the service provided, and all therapy notes for the last three months;
- iii. Requested additional frequency: Up to an additional 104 units (one unit = 15 minutes) during the six-month (26 week) renewal period;
- 2. **Family Support Partners**: Provided by peer counselors of Family Support Partners (FSP), who model recovery and resiliency for caregivers of children and youth with behavioral health care needs or developmental disabilities. FSP come from legacy families and use their lived experience, training, and skills to help caregivers and their families identify goals and actions that promote recovery and resiliency and maintain independence. A FSP may assist, teach, and model appropriate child-rearing strategies, techniques, and household management skills;
  - a. Admission/initial request meets all the following:
    - i. Treatment plan signed by the licensed mental health professional or provide a recommendation letter from the licensed mental health professional for the requested services and evaluations;
    - ii. Requested service meets all of the following:
      - a) Has a clinical component and at minimum, a quarterly individual/family therapy service;
      - b) Provides information on child development, age-appropriate behavior, parental expectations, and childcare activities;
      - c) Assists the member/enrollee's family in securing resources and developing natural supports;
      - d) Serves as a resource for families with a child, youth, or adolescent receiving behavioral health or developmental disability services;
      - e) Assists families identify natural supports and community resources, provide leadership and guidance for support groups, and work with families on individual and family advocacy, social support for assigned families, educational support, systems advocacy, lagging skills development, problem solving technics, and self-help skills;
    - iii. Frequency of services: Up to an additional 52 units (one unit = 15 minutes): for each three-month (13 week) review. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
  - b. Continued stay request meets all the following:
    - i. Meets requirements in admission/initial request for Family Support Partners, I.B.2.a., except for frequency;
    - ii. Documentation is provided of the most recent treatment plan, the last three notes of the service provided, and all therapy notes for the last three months;
    - iii. Requested additional frequency: Up to an additional 104 units (one unit = 15 minutes) during the six months (26 weeks) renewal period. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
- 3. Child and Youth Support Services: Clinical, time-limited services for principal caregivers designed to increase a child's positive behaviors and encourage compliance with parents at home; working with teachers/schools to modify classroom environment to increase positive behaviors in the classroom; and increase a child's





social skills, including understanding of feelings, conflict management, academic engagement, school readiness, and cooperation with teachers and other school staff;

- a. Admission/initial request meets all the following:
  - i. Member/enrollee is 4 to 17 years of age;
  - ii. Treatment plan signed by the licensed mental health professional or provide a recommendation letter from the licensed mental health professional for the requested services and evaluations;
  - iii. Member/Enrollee served is in imminent risk of out-of-home placement or has recently reintegrated from an out of-home placement;
  - iv. Requested service meets all the following:
    - a) Has a clinical component and at minimum, a quarterly individual/family therapy service;
    - b) Increases parental skill development in managing the member/enrollee's symptoms of their illness and trains the parents in effective interventions and techniques for working with the schools;
    - c) Provides an intensive, time limited therapy, In-Home Case Aide, in the member/enrollee's home or, in rare instances, a community-based setting;
    - d) Promotes healthy family interactions, behavior training, and feedback to the family;
  - v. Frequency of services: up to 15 units (one unit = 60 minutes) are requested for a three-month (13 week) review. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
- b. Continued stay request meets all of the following:
  - i. Meets requirements in admission/initial request for Child and Youth Support Services, I.B.3.a., except for frequency;
  - ii. Documentation is provided of the most recent treatment plan, the last three notes of the service provided, and all therapy notes for the last three months;
  - iii. Requested additional frequency: Up to an additional 30 units (one unit = 60 minutes) for each six-month (26 week) renewal period. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline:
- 4. **Behavioral Assistance**: A specific outcome-oriented intervention provided individually or in a group setting with the member/enrollee and/ or caregiver(s) that will provide the necessary support to attain the goals of the treatment plan. Services involve applying positive behavioral interventions and supports within the community to foster behaviors that are rehabilitative and restorative in nature. The intervention results in sustainable positive behavioral changes that improve functioning, enhance the quality of life, and strengthen skills in a variety of life domains. Behavioral Assistance is designed to support youth and their families in meeting behavioral goals in various community settings;
  - a. Admission/initial request meets all the following:
    - i. Member/enrollee is 4 to 17 years of age;
    - ii. Member/enrollee is at risk of out-of-home placement or has returned home from residential placement and needs flexible wrap-around supports to ensure safety and support community integration;



- iii. Treatment plan signed by the licensed mental health professional or provide a recommendation letter from the licensed mental health professional for the requested services and evaluations;
- iv. Requested service meets all of the following:
  - a) Has a clinical component and at minimum, a quarterly individual/family therapy service;
  - b) Is a part of specific treatment goals and is developed in coordination with the member/enrollee and family;
  - c) Assists the family in implementing safety plans and behavioral management plans when member/enrollee are at risk for offending behaviors, aggressions, and oppositional defiance;
  - d) Provides support to the member/enrollee and family during periods when behaviors have been typically problematic, such as during morning preparation for school, at bedtime, after school, or other times when there is evidence of a pattern of escalation of problem difficult behaviors;
  - e) Provided in school classrooms or on school bus for short periods of time to help the member/enrollee transition from hospitals or residential settings but is not intended as a permanent solution to problem difficult behaviors at school;
- v. Frequency of Services: up to 73 units (one unit = 15minutes) per three-month (13 week) review. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
- b. Continued stay request meets all the following:
  - i. Meets requirements in admission/initial request for Behavioral Assistance individual or group, I.B.4.a., except for frequency;
  - ii. Documentation is provided of the most recent treatment plan, the last three notes of the service provided, and all therapy notes for the last three months;
  - iii. Requested additional frequency: Up to 146 units (one unit = 15minutes) for each six-month (26 week) renewal period. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline:
- 5. **Aftercare Recovery Support (substance abuse):** A continuum of care provided to recovering members/enrollees living in the community based on their level of need;
  - a. Admission/initial request meets the following:
    - Treatment plan signed by the licensed mental health professional or provide a recommendation letter from the licensed mental health professional for the requested services and evaluations;
    - ii. Requested service meets all the following:
      - a) Has a clinical component and at minimum, a quarterly individual/family therapy service;
      - b) Educates and assists the member/enrollee with accessing supports and services:
      - c) Promotes and assists the member/enrollee with maintaining community integration;
      - d) Assists the recovering member/enrollee to direct their resources and support systems;

#### **Home and Community Based Services**



- e) Provides transitional services to adjust after receiving a higher level of care:
- Services can be provided in the home, community setting (school, work, church, stores, parks), and clinical settings for adults (adult day cares or adult day clinics);
- iii. Frequency of services: Up to 52 units (one unit = 15 minutes) for a three-month (13 week) review. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
- iv. Continued stay meets all the following:
  - a) Meets requirements in admission/initial request for Aftercare Recovery Support (substance abuse) I.B.5.a., except for frequency
  - b) Documentation is provided of the most recent treatment plan, the last three notes of the service provided, and all therapy notes for the last three months;
  - c) Requested additional frequency: Up to an additional 104 units (one unit = 15 minutes) for each six-month (26 week) renewal period. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
- 6. **Crisis Stabilization Intervention**: A scheduled face-to-face treatment activity provided to a member/enrollee who has recently experienced a psychiatric or behavioral crisis that are expected to further stabilize, prevent deterioration, and serve as an alternative to 24 hour inpatient care. Services are congruent with the age, strengths, required accommodation for any disability and cultural framework of the member/ enrollee and family. If there are additional needs-based criteria for receiving the service, if applicable, the provider must specify the limits (if any) on the amount, duration, or scope of this service. Per 42 CFR Section 440.240, services available to any categorically needy recipient cannot be less in amount, duration, and scope than those services available to a medically needy recipient, and services must be equal for any member/enrollee within a group. States must also separately address standard state plan service questions related to sufficiency of services;
  - a. Admission/initial: no prior authorization needed;
  - b. Continued stay meets all the following:
    - i. If more than 12 units (one unit =15 minutes) per day or 72 units per year are requested, an extension of benefits is needed;
    - ii. Documentation is provided of the most recent treatment plan, the last three notes of the service provided, and all therapy notes for the last three months;

#### C. Enhanced Level Services, any of the following:

- 1. **Partial Hospitalization**: An intensive nonresidential, therapeutic treatment program used as an alternative to and/or a step-down service from inpatient residential treatment or to stabilize a deteriorating condition and avert hospitalization;
  - a. Admission/initial request meets all the following:
    - i. Meets InterQual<sup>®</sup> criteria and clinical presentation for admission;
    - ii. Treatment plan signed by the licensed mental health professional, or a recommendation letter is provided from the licensed mental health professional for the requested services and evaluations;



- iii. Documented completion of the admission assessment or mental health assessment;
- iv. Requested service meets all the following:
  - a) Provides clinical treatment services in a stable environment on a level equal to an inpatient program, but on a less than 24 hour basis;
  - b) Treatment is provided in a highly structured environment, which maintains a staff-to-patient ratio of no more than one to five (1:5) to ensure necessary therapeutic services and professional monitoring, control, and protection. Note: Treatment can occur in a variety of clinical settings for adults, similar to an adult day care or adult day clinic;
  - c) Treatment includes intake, individual therapy, group therapy, and psychoeducation;
  - d) All clinical sites must be certified by the Division of Provider Services and Quality Assurance as a Partial Hospitalization Provider;
- v. Frequency of services (one unit=one day), all the following:
  - a) Determined by InterQual;
  - b) No less than five hours per day, of which 90 minutes must be a documented service provided by a Mental Health Professional;
  - c) If a member/enrollee receives other services during the week but also receives Partial Hospitalization, the member/enrollee receives, at a minimum, 20 documented hours of services on no less than four days in that week. Note: All counseling and HCBS services are included in this per diem service; no additional Counseling or HCBS may be billed on the same day;
- b. Continued stay documentation, all the following:
  - i. Meets requirements in admission/initial request for partial hospitalization I.C.1.a.:
  - ii. Submission of the most recent treatment plan and all notes covering the last seven days of service;
  - iii. Frequency of service determined by InterOual guidelines:
- 2. **Substance Abuse Detox (Observational)**: A set of interventions aimed at managing acute intoxication and withdrawal from alcohol or other drugs. Services help stabilize the member/enrollee by clearing toxins from the body;
  - a. Admission/initial request meets all the following:
    - i. Meets ASAM criteria for admission;
    - ii. Treatment plan signed by the licensed mental health professional, or a recommendation letter is provided from the licensed mental health professional for the requested services;
    - iii. Documented completion of the admission assessment or mental health assessment:
    - iv. Requested service meets all the following:
      - a) Service will be short term and provided in a crisis unit, inpatient, or outpatient setting;
      - b) Service includes evaluation, observation, medical monitoring, and addiction treatment;



- Assists the member/enrollee with minimizing the physical harm caused by the abuse of substances and prepares the member/enrollee for ongoing substance abuse treatment;
- d) Frequency of services determined by ASAM guidelines. Note: All counseling and HCBS services included in this per diem, no additional Counseling or HCBS may be billed on the same day;
- b. Continued stay documentation meets all of the following:
  - i. Requirements in admission/initial request for substance abuse detox (observational), I.C.2.a.;
  - ii. Submission of the most recent treatment plan and all notes covering the previous authorization request;
  - iii. Frequency of services determined by ASAM guidelines;
- 3. Adult Rehabilitative Day Service: A continuum of care provided to recovering members/enrollees living in the community based on their level of need. This service includes educating and assisting the member/enrollee with accessing supports and services needed to direct their resources and support systems;
  - a. Admission/initial request meets all the following:
    - Treatment plan signed by the licensed mental health professional, or a recommendation letter is provided from the licensed mental health professional for the requested services;
    - ii. Documentation of a mental health evaluation;
    - iii. Requested service meets all the following:
      - a) Activities include training to assist the member/enrollee to learn, retain, or improve specific job skills, and to successfully adapt and adjust to a particular work environment;
      - b) Trains and assists member/enrollee to live in and maintain a household of their choosing in the community;
      - c) Provides transitional services to adjust after receiving a higher level of care;
      - d) Provides face-to-face rehabilitative day activities with a preplanned and structured group program for identified members/enrollees, aimed at long-term recovery and maximization of self-sufficiency, as distinguished from the symptom stabilization function of acute day treatment;
      - e) Provides activities which are person and family centered, recovery-based, culturally competent, as well as needed accommodation for any disability, with measurable outcomes:
      - f) Assists the member/enrollee with compensating for or eliminating functional deficits and interpersonal and/or environmental barriers associated with their chronic mental illness, restoring the fullest possible integration of the member/enrollee as an active and productive part of the family, social and work community and/or culture with the least amount of ongoing professional intervention;
      - g) Provides training to address emotional skills, such as coping with stress, anxiety or anger; behavioral skills, such as proper use of medications, appropriate social interactions and managing overt expression of symptoms like delusions or hallucinations; daily living and self-care skills,



such as personal care and hygiene, money management and daily structure/use of time; cognitive skills, such as problem solving, understanding illness and symptoms and reframing; community integration skills and any similar skills required to implement the master treatment plan;

- iv. Frequency of services: Up to 90 units (one unit = 60 minutes) for a three-month (13 week) review. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
- b. *Continued Stay* meets all the following:
  - i. Meets requirements in admission/initial request for adult rehabilitative day I.C.3.a., except for frequency;
  - ii. Documentation is provided of the most recent treatment plan, all progress notes from the last 30 days and all therapy notes;
  - iii. Psychotherapy will be conducted at a minimum quarterly (individual or family) unless higher standards are promulgated within Provider Certification Manuals;
  - iv. Requested additional frequency: Up to an additional 180 units (one unit = 60 minutes) for each six-month (26 week) renewal period. Note: Providers may submit documentation to support the severity of the need for additional units beyond the guideline;
- 4. Therapeutic Communities: Highly structured residential environments or continuums of care in which the primary goals are the treatment of behavioral health needs and the fostering of personal growth leading to personal accountability. Services address the broad range of needs identified by the member/enrollee. The service employs community-imposed consequences and earned privileges as part of the recovery and growth process. In addition to daily seminars, group counseling, and individual activities, the members/enrollees are assigned responsibilities within the therapeutic community setting. Members/Enrollees and staff members act as facilitators, emphasizing personal responsibility for one's own life and self-improvement. The service emphasizes the integration within the community, and progress is measured within the context of that community's expectation;
  - a. Therapeutic Community (TC) Level 1: Provides the highest level of supervision, support and treatment as well as ensuring community safety in a facility of no more than 16 beds. Members/Enrollees who receive this level of care have treatment needs that are severe enough to require inpatient care in a hospital but do not need the full resources of a hospital setting. The emphasis in this level is intensive services delivered using a multi-disciplinary approach include physicians, licensed counselors, and highly trained paraprofessionals;
    - i. Admission/initial request meets all the following:
      - a) The member/enrollee has a diagnosis of serious persistent mental illness (SPMI) or an intellectual/developmental disability and serious behavioral issues that cannot be maintained in the community;
      - b) The member/enrollee has regressed or has an exacerbation of symptoms that are severe enough to require inpatient care in a hospital but do not need the full resources of a hospital setting; or the member/enrollee has multisystem involvement, complex needs for the highest-level of 24/7



supervision, support, and treatment to ensure community safety. Note: Consideration will be given for members/enrollees who are under a 911, 310 or 180 commitment status and pose a high level of community safety risk:

- c) Requested service meets all the following:
  - 1) Provides the highest level of supervision, support and treatment as well as ensuring community safety in a facility of no more than 16 beds:
  - 2) Intensive services are delivered using a multi-disciplinary approach include physicians, licensed counselors, and highly trained paraprofessionals;
  - 3) Submission of a Positive Behavioral Support Plan for a member/enrollee who has an intellectual or developmental disability with behavioral issues needing TC Level 1;
  - 4) Participation in development and updates to Person Centered Service Plan (PCSP), and Interdivisional Staffing when notified at least 24 hours (during business days) in advance, unless an emergency arises, by the health plan Care Coordination team. If critical incident occurs, outreach and collaboration with the health plan Care Coordination team on addressing the immediate needs;
- d) Frequency of services: Up to 90 units (one unit=one day), per authorization request;
  - 1) A minimum of 35 treatment hours will occur per week with five of these treatment hours/encounters being conducted by a licensed mental health professional with at least one treatment hour/encounter on an individual basis and not in a group setting;
  - 2) A minimum of two encounters per month will be conducted by a MD/Psychiatrist/APRN/other prescriber for the member/enrollee's behavioral health need;
  - 3) All Counseling and HCBS are included in this per diem, no additional services may be billed on the same day by the same provider, and no other HCBS or Counseling services may be billed;
- ii. Continued stay request meets all the following:
  - a) Meets requirements in admission/initial request for TC Level 1, I.C.4.a.i;
  - b) Submission of the most recent treatment plan, psychiatric evaluation, prescriber/MD notes, counseling notes, and daily notes/logs showing treatment hour requirements have been met since for the last 30 days;
  - c) Frequency of services: Up to 90 units (one unit=one day);
- b. Therapeutic Community (TC) Level 2: Provides supervision, support, and treatment, but at a lower level than TC Level 1 and can be used as a step down from Level 1 to begin the transition back into a community setting that will not provide 24/7 supervision, service, and support. Interventions shift from clinical to addressing the member/enrollee's educational or vocational needs, socially dysfunctional behavior, and the need for stable housing. Arranging for the full array of clinical and HCBS is critical for successful discharge;
  - i. Admission/initial request meets all the following:



- a) The member/enrollee is 18 years of age or older;
- b) The member/enrollee has a diagnosis of serious persistent mental illness (SPMI) or an intellectual/developmental disability with serious behavioral issues that cannot be treated in the community;
- c) The member/enrollee requires supervision, support, and treatment at a lower level of care than Level 1 TC but cannot live safely/independently in the community without continued treatment at this level of care. Priority is given to:
  - 1) Member/enrollee recently discharged from an institutional setting, TC Level 1, an inpatient psychiatric hospitalization, human development center, or the criminal justice system;
  - 2) Member/enrollee diagnosed with schizophrenia, other psychotic disorders (e.g., schizoaffective disorder), or bipolar disorder;
  - 3) Member/enrollee diagnosed with an intellectual/developmental disability displaying significant/challenging behaviors;
- d) The member/enrollee has significant functional impairments as demonstrated by the inability to consistently engage in at least three of the following:
  - 1) Maintaining personal hygiene;
  - 2) Meeting nutritional needs;
  - 3) Caring for personal business affairs;
  - 4) Obtaining medical, legal, and housing services;
  - 5) Recognizing and avoiding common dangers or hazards to self;
  - 6) Persistent and recurrent failure to perform daily living tasks except for significant support or assistance from others;
- e) The member/enrollee has three or more of the following problems that are indicators of high-service needs:
  - 1) High use of acute psychiatric hospitals or crisis/emergency services (e.g., three or more admissions per year), extended hospital stay (60 days within the past year);
  - 2) Persistent, recurrent, severe, or major symptoms (e.g., affective, psychotic, suicidal);
  - 3) High risk for or with a recent history of criminal justice involvement (e.g., arrest and incarceration);
  - 4) Inability to meet basic survival needs or residing in substandard housing, homeless, or at imminent risk of becoming homeless;
  - 5) Frequent ED utilization, residing in an inpatient or crisis unit, human development center, or in a therapeutic community, but clinically assessed to be able to live in a more independent living situation if intensive services are provided or requiring a residential or institutional placement if more intensive services are not available;
  - 6) Lower level of service/support has been tried or considered and found inappropriate at this time;
- f) Requested service meets all the following:
  - 1) Provides supervision, support, and treatment, but at a lower level than Level 1 and can be used as a step down from Level 1 to begin the



- transition back into a community setting that will not provide 24/7 supervision, service, and support;
- 2) Provided interventions which shift from clinical to addressing the member/enrollee's educational or vocational needs, socially dysfunctional behavior, and the need for stable housing;
- 3) Participation in development and updates to person centered service plan (PCSP), and interdivisional staffing when notified at least with 24 hours (during business days) in advance, unless an emergency arises by the health plan care coordination team;
- g) Frequency of services, all the following:
  - 1) Up to 90 units (one unit =one day) are requested;
  - 2) A minimum of 30 treatment hours will occur per week with three of these treatment hours/encounters being conducted by a licensed mental health professional with at least one treatment hour/encounter on an individual basis and not in a group setting;
  - 3) A minimum of one encounter per month will be conducted by a MD/psychiatrist/APRN/other prescriber for the member/enrollee's behavioral health need;
- ii. Continued Stay meets all the following:
  - a) Meets requirements in admission/initial request for TC Level 2 in I.C.4.b. i.;
  - b) Submission of the most recent treatment plan, psychiatric evaluation, prescriber/MD notes, counseling notes, and daily notes/logs showing treatment hour requirements have been met for the last 30 days;
  - c) If critical incident occurs, outreach and collaboration with the health plan care coordination team on addressing the immediate needs;
  - d) If a member/enrollee has an intellectual or developmental disability with behavioral issues needing TC Level 2 a positive behavioral support plan will be required;
- 5. **Residential Community Reintegration**: Designed to serve as an intermediate level of care between Inpatient Psychiatric Facilities and home and community-based behavioral health services. The program provides twenty-four hour per day intensive therapeutic care provided in a small group home setting for children and youth with emotional and/or behavior problems which cannot be remedied by less intensive treatment. The program is intended to prevent acute or sub-acute hospitalization of youth, or incarceration. The program is also offered as a step-down or transitional level of care to prepare a youth for less intensive treatment;
  - a. Admission/Initial request meets all the following:
    - i. Submission of the treatment plan signed by the licensed mental health professional or a recommendation letter from the licensed mental health professional for the requested services;
    - ii. Mental health evaluation submitted (if completed);
    - iii. The service program meets all the following:
      - a) Certified by the Department of Human Services to ensure quality of care and the safety of members/enrollees and staff;



- b) Ensures the provision of educational services to all members/enrollees in the program. This may include education occurring on campus or the option to attend a school off campus if deemed appropriate in accordance with the Arkansas Department of Education;
- iv. Frequency of services all the following:
  - a) Up to 90 units (one unit =one day) are requested;
  - b) A minimum of 15 treatment hours, five of which can be community enrichment activities, will occur per week with three of these treatment hours/encounters being conducted by a licensed mental health professional with at least one treatment hour/encounter on an individual basis and not in a group setting;
  - c) A minimum of one encounter per month will be conducted by a MD/psychiatrist/APRN/other prescriber to support the member/enrollee's behavioral health need;
- b. Continued Stay request meets all the following:
  - i. Meets requirements in admission/initial request for residential community reintegration I.C.5.a.;
  - ii. Provides the most recent treatment plan, the progress notes from the last 30 days, including all therapy notes;
  - iii. Conduct psychotherapy at a minimum quarterly (individual or family) unless higher standards are promulgated within provider certification manuals;
- 6. **Team Based Intensive Community Support System Provider (CSSP) services**, any of the following:
  - a. Assertive Community Treatment (ACT): An evidence-based practice provided by a multidisciplinary team providing comprehensive treatment and support services available 24 hours a day, seven days a week wherever and whenever needed. Services are provided in the most integrated community setting possible to enhance independence and positive community involvement. A member/enrollee appropriate for services through an ACT team has needs that are so pervasive and/or unpredictable that it is unlikely that support can be met effectively by other combinations of available community services, or in circumstances where other levels of outpatient care have not been successful to sustain stability in the community. Typically, this service is targeted to members/enrollees who have serious mental illness or co-occurring disorders, multiple diagnoses, and the most complex and expensive treatment needs.
    - i. Choose one (initiation or continuation):
      - a) Admission/initial request meets all the following:
        - 1) Member/enrollee is 18 to 99 years of age;
        - 2) All the following:
          - i) The member/ enrollee has a diagnosis of serious persistent mental illness (SPMI);
          - ii) The member/enrollee has a SPMI that seriously impairs the ability to live in the community. Priority is given to people recently discharged from an institutional setting, including therapeutic communities, with schizophrenia, other psychotic disorders (e.g.,



- schizoaffective disorder), or bipolar disorder, because these illnesses more often cause long-term psychiatric disability;
- iii) The member/enrollee has significant functional impairments as demonstrated by the inability to consistently engage in at least two of the following:
- iv) Maintaining personal hygiene;
- v) Meeting nutritional needs;
- vi) Caring for personal business affairs;
- vii)Obtaining medical, legal, and housing services;
- viii) Recognizing and avoiding common dangers or hazards to self and possessions;
- ix) Persistent or recurrent failure to perform daily living tasks except with significant support or assistance from others such as friends, family, or other relatives;
- x) Employment at a self-sustaining level or inability to consistently carry out homemaker roles (e.g., household meal preparation, washing clothes, budgeting, or childcare tasks and responsibilities);
- xi) Maintaining a safe living situation (e.g., repeated evictions or loss of housing);
- xii) Two or more encounters with law enforcement resulting from mental illness over a 90 day period;
- 3) The member/enrollee has two or more of the following problems that are indicators of high-service needs (i.e., for greater than 8 hours of service per month):
  - i) High use of acute psychiatric hospitals or crisis/emergency services (e.g., three or more admission per year), extended hospital stay (at least 60 days within the past year);
  - ii) Persistent, recurrent, severe, or major symptoms (e.g., affective, psychotic, suicidal);
  - iii) Coexisting substance use disorder of significant duration (e.g., greater than six months);
  - iv) High risk or a recent history of criminal justice involvement (e.g., arrest and incarceration);
  - v) Inability to meet basic survival needs or residing in substandard housing, homeless, or at imminent risk of becoming homeless;
  - vi) Frequent ED utilization, residing in an inpatient or crisis unit, or in a Therapeutic Community, but clinically assessed to be able to live in a more independent living situation if intensive services are provided or requiring a residential or institutional placement if more intensive services are not available;
  - vii) Inability to participate in traditional clinic-based services (e.g., frequent no shows, lack of transportation);
  - viii) Lower level of service/support has been tried or considered and found inappropriate at this time;
- 4) Requested service meets all of the following:



- i) Provided in the most integrated community setting possible to enhance independence and positive community involvement;
- ii) Includes the following services:
  - A) Daily organizational staff meeting and daily scheduling;
  - B) All counseling-level services;
  - C) Home and community-based services;
  - D) Crisis assessment, support, and intervention;
  - E) Collaboration with the PASSE care coordination team via provider case management;
- 5) Individualized interventions include but are not limited to:
  - Identification with the member/enrollee of barriers that impede the development of skills necessary for independent functioning in the community as well as strengths which may aid the member/enrollee in recovery;
  - Support to facilitate recovery (including emotional/therapeutic support/assistance with definition of what recovery means to the member/enrollee to assist with recovery-based goal setting and attainment);
  - iii) Service and resource coordination to assist the member/enrollee in gaining access to necessary rehabilitative, medical, and other services:
  - iv) Family counseling/training for member/enrollees and their families;
  - Assistance in the acquisition of both mental illness and physical health symptom- monitoring and illness self-management skills to identify and minimize the negative effects of symptoms which interfere with the member/enrollee's daily living;
  - vi) Assistance with financial management skill development;
  - vii) Crisis planning, intervention and stabilization based on member/enrollee's needs;
  - viii) Assistance with school and work functioning;
  - ix) Substance abuse counseling and intervention (e.g., motivational interviewing, stage-based interventions, refusal skill development, cognitive behavioral therapy, psychoeducational approaches, instrumental support such as helping the member/enrollee relocate away from friends/neighbors who influence drug use, relapse prevention planning, and techniques);
  - x) Individualized, restorative one-to-one psychosocial rehabilitation and skills development, including assistance in the development of interpersonal/social and community coping and functional skills (i.e., adaptation/functioning in home, school, and work environments);
  - xi) Psychotherapeutic techniques involving the in-depth exploration and treatment of interpersonal and intrapersonal issues;
  - xii) Any necessary monitoring and follow-up to determine if the services accessed have adequately met the member/enrollee's



needs; Note: The member/enrollee is expected to experience the following while receiving ACT services:

Increased community tenure and decreased frequency and/or duration of hospitalization/crisis services, housing stability, decreased symptomatology, decreased medication side effects, improved social integration and functioning, and increased movement toward recovery;

- 6) Frequency of services: up to 90 days requested per authorization period and a minimum of eight hours of services to be delivered per month);
- b) Continued Stay request meets all the following:
  - 1) Requirements in admission/initial request for ACT, I.C.6.a.i.a), including frequency;
  - 2) Documentations shows that member/enrollee received a minimum of 8 hours of service per month in the prior months;
  - 3) Provision of all documentation since the last review period;
- ii. ACT Team requirements, all the following:
  - a) Conduct a daily meeting with all relevant staff on duty to review the status of the member/enrollee's need to be addressed and the outcome of recent contacts and develop a master staff work schedule for the day's interactions with member/enrollees. Each member/enrollee will be reviewed at a minimum monthly;
  - b) ACT staffing requirements as follows (unless the provider's fidelity lists a different set of staff):
    - 1) Sufficient number of staff to provide services;
    - 2) A mix of individuals with clinical and rehabilitation training and experience as well as the ability to establish caring, trusting, relationships based on respect for member/enrollees;
    - 3) A team leader and psychiatrist, or APRN working as practicing clinicians on the ACT team;
    - 4) Structured time for a psychiatrist, APRN, or MD to see members/enrollees and to collaborate with and clinically supervise staff;
    - 5) A registered nurse/LPN to support the member/enrollee's needs;
    - 6) One or more qualified behavioral health professional (QBHP) or paraprofessionals that focus on employment;
    - 7) At least one peer specialist;
    - 8) A program assistant to manage the office and coordinate incoming information (e.g., telephone calls, members/enrollees coming into the office) when the staff are out in the community;
  - c) ACT Team size, ratios, and caseload unless they differ with provider fidelity monitoring as follows:
    - 1) Minimum team size is determined by calculating how many staff members are needed to cover all the shifts (e.g., weekdays, weekday evenings, weekends, and holidays) and on-call hours while also allowing for staff time off. The standard minimum is 10 full time



- employees (FTE) in addition to the program assistant and psychiatrist, MD or APRN, however, in some rural areas where a small number of member/enrollees are served this may be six to eight FTEs with the program assistant and psychiatrist or APRN;
- 2) The staff-to-member/enrollee ratio is no more than 10 members for each FTE staff. The program assistant and psychiatrist, MD or APRN are not included in this ratio;
- 3) The team leader and psychiatrist, MD or APRN share responsibility for clinical supervision of team members as well as monitoring member/enrollee's status and response to clinical interventions;
- b. Intensive In-Home Services (for children): A team approach used to address serious and chronic emotional or behavioral issues for children (youth) who are unable to remain stable in the community without intensive interventions. Services are multifaceted: counseling, skills training, interventions, or resource coordination, and are delivered in the member/enrollee's home or in a community setting. The parent or caregiver must be an active participant in the treatment and individualized services that are developed in full partnership with the family:
  - i. Admission/initial request meets all the following:
    - a) Member/enrollee is 4 to 17 years of age;
    - b) One of the following:
      - Member/enrollee is receiving treatment in a residential treatment unit, but clinically assessed to be able to transition back to the community if intensive services are provided;
      - 2) Member/enrollee is at risk of out of home placement and would require residential treatment if more intensive services are not available. Member/enrollee is having difficulty in establishing or maintaining relationships with family or community and is exhibiting such inappropriate behavior despite repeated interventions by the mental health (including outpatient and/ or other Home Community Based Services), or judicial system;
    - c) All the following:
      - 1) Member/enrollee has the functional capability to understand and benefit from the service as these services are rehabilitative and are intended to improve functioning;
      - 2) The diagnosis supports the mental, behavioral, or emotional illness attributed to the recent functional impairments in major life activities:
      - 3) At least one parent/legal guardian or responsible adult with whom the member/enrollee is living will participate in intensive in-home services with the goal of keeping the member/enrollee in the home;
    - d) Provides psychotherapy and medication management as needed or collaborate/coordinate with a provider that provides psychotherapy or medication management;
    - e) Requested service meets all the following:
      - 1) Provides a variety of interventions that are available at the time of the family need, to include "first responder" crisis response, as indicated



- in the care plan: twenty-four hours per day, seven days per week, three hundred sixty-five days per year;
- 2) The licensed professional is responsible for monitoring and documenting the status of the member/enrollee's progress and the effectiveness of the strategies and interventions outlined in the care plan;
- 3) The licensed professional consults with identified medical professionals (such as primary care and psychiatric) and non-medical providers (child welfare and juvenile justice), engages community and natural supports, and includes their input in the care planning process;
- 4) Services provided are a recognized model of care and clearly outline the duration and scope of treatment and be prior approved by a PASSE;
- f) Frequency of services, all the following:
  - 1) No less than three hours per week;
  - 2) Up to an additional 30 days requested per authorization. Note: No other HCBS may be billed while Intensive In-Home is being provided;
- ii. Continued Stay meets all the following:
  - a) Meets requirements in admission/initial request for Intensive In-Home Services, I.C.6.b. i., including frequency;
  - b) Submission of all records from the previous review period;
  - c) Documentation of collaboration with other behavioral health providers (if applicable);

#### **Coding Implications**

This clinical policy references Current Procedural Terminology (CPT®). CPT® is a registered trademark of the American Medical Association. All CPT codes and descriptions are copyrighted 2022, American Medical Association. All rights reserved. CPT codes and CPT descriptions are from the current manuals and those included herein are not intended to be all-inclusive and are included for informational purposes only. Codes referenced in this clinical policy are for informational purposes only. Inclusion or exclusion of any codes does not guarantee coverage. Providers should reference the most up-to-date sources of professional coding guidance prior to the submission of claims for reimbursement of covered services.

| CPT®* | Description |
|-------|-------------|
| Codes |             |
| N/A   |             |

Note: Please use correct HCPCS modifiers as applicable.

| HCPCS ®* Codes | Arkansas Specific Description        |
|----------------|--------------------------------------|
| H0014          | Substance Abuse Detox, Observational |
| H0019          | Therapeutic Communities              |
| H0034          | Pharmacological Counseling by RN     |



| HCPCS ®* | Arkansas Specific Description   |
|----------|---|
| Codes    |   |
| H0035    | Partial Hospitalization   |
| H0037    | Intensive In Home   |
| H0038    | Peer Support  |
| H0040    | Assertive Community Treatment   |
| H0043    | Supportive Housing  |
| H0045    | Therapeutic Host Home   |
| H2011    | Crisis Stabilization Intervention   |
| H2014    | Family Support Partners   |
| H2015    | Child and Youth Support Services  |
| H2017    | Adult Life Skills Development, Aftercare Recovery Support (SU), Supportive Life |
|          | Skills Development; Adult Rehabilitation Day Service per 60 minutes             |
| H2019    | Behavioral Assistance   |
| H2020    | Residential Community Reintegration   |
| H2023    | Supportive Employment   |
| T1005    | Respite (15 min)  |
| S5151    | Respite (per diem)  |

| Reviews, Revisions, and Approvals  | Revision<br>Date | Approval<br>Date |
|--|------------------|------------------|
| New policy adapted based off Arkansas Total Care (ARTC) 1915(i)<br>Behavioral Health, Home, and Community-Based Services (HCBS)  | 06/23            |                  |
| In Supportive Employment: I.A.4.a.i.a) removed the statement "Services delivered in the home are intended to foster independence in the community setting and may include training in menu planning, food preparation, housekeeping and laundry, money management, budgeting, following a medication regimen, and interacting with the criminal justice system" and replaced it with "Service can be provided in a variety of settings to include community, job site, home, etc. If services are provided in the home, it should be to foster independence in the community setting and may include training in a variety of areas to include food preparation, housekeeping, budgeting, etc.; In Therapeutic Community Level One: I.C.4.a, i., d), 2) added clarification to the number of encounters "a minimum of two encounters". In Therapeutic Community Level Two: I.C.4.b. i. g) 3) added clarification to the number of encounters "a minimum of two encounters". In Residential Community Reintegration: I.C.5.a. iv. a) through c), added clarification to the treatment hours as such: "a)Up to 90 units (one unit =one day) are requested; b) A minimum of 15 treatment hours, five of which can be community enrichment activities, will occur per week with three of these treatment hours/encounters being conducted by a licensed mental health professional with at least one treatment hour/encounter on an individual basis and not in a group setting; c) A minimum of one encounter per | 07/23            | 07/23            |

#### **Home and Community Based Services**



| Reviews, Revisions, and Approvals   | Revision Date | Approval<br>Date |
|---|---------------|------------------|
| month will be conducted by a MD/psychiatrist/APRN/other prescriber to support the member/enrollee's behavioral health need" |               |                  |

#### References

- 1. Arkansas Medicaid's 1915(i) Behavioral Health, Home, and Community-Based Services (HCBS). Accessed May 31, 2023
- 2. Arkansas Department of Human Services. Home, and Community-Based Services (HCBS) For Clients with Intellectual Disabilities and Behavioral Health Needs, Section II. <a href="https://humanservices.arkansas.gov/divisions-shared-services/medical-services/helpful-information-for-providers/manuals/hcbsidbh-prov/">https://humanservices.arkansas.gov/divisions-shared-services/medical-services/helpful-information-for-providers/manuals/hcbsidbh-prov/</a>. Accessed May 31, 2023.

#### **Important Reminder**

This clinical policy has been developed by appropriately experienced and licensed health care professionals based on a review and consideration of currently available generally accepted standards of medical practice; peer-reviewed medical literature; government agency/program approval status; evidence-based guidelines and positions of leading national health professional organizations; views of physicians practicing in relevant clinical areas affected by this clinical policy; and other available clinical information. The Health Plan makes no representations and accepts no liability with respect to the content of any external information used or relied upon in developing this clinical policy. This clinical policy is consistent with standards of medical practice current at the time that this clinical policy was approved. "Health Plan" means a health plan that has adopted this clinical policy and that is operated or administered, in whole or in part, by Centene Management Company, LLC, or any of such health plan's affiliates, as applicable.

The purpose of this clinical policy is to provide a guide to medical necessity, which is a component of the guidelines used to assist in making coverage decisions and administering benefits. It does not constitute a contract or guarantee regarding payment or results. Coverage decisions and the administration of benefits are subject to all terms, conditions, exclusions, and limitations of the coverage documents (e.g., evidence of coverage, certificate of coverage, policy, contract of insurance, etc.), as well as to state and federal requirements and applicable Health Plan-level administrative policies and procedures.

This clinical policy is effective as of the date determined by the Health Plan. The date of posting may not be the effective date of this clinical policy. This clinical policy may be subject to applicable legal and regulatory requirements relating to provider notification. If there is a discrepancy between the effective date of this clinical policy and any applicable legal or regulatory requirement, the requirements of law and regulation shall govern. The Health Plan retains the right to change, amend or withdraw this clinical policy, and additional clinical policies may be developed and adopted as needed, at any time.

This clinical policy does not constitute medical advice, medical treatment, or medical care. It is not intended to dictate to providers how to practice medicine. Providers are expected to exercise professional medical judgment in providing the most appropriate care and are solely responsible



for the medical advice and treatment of members/enrollees. This clinical policy is not intended to recommend treatment for members/enrollees. Members/enrollees should consult with their treating physician in connection with diagnosis and treatment decisions.

Providers referred to in this clinical policy are independent contractors who exercise independent judgment and over whom the Health Plan has no control or right of control. Providers are not agents or employees of the Health Plan.

This clinical policy is the property of the Health Plan. Unauthorized copying, use, and distribution of this clinical policy or any information contained herein are strictly prohibited. Providers, members/enrollees, and their representatives are bound to the terms and conditions expressed herein through the terms of their contracts. Where no such contract exists, providers, members/enrollees and their representatives agree to be bound by such terms and conditions by providing services to members/enrollees and/or submitting claims for payment for such services.

**Note: For Medicaid members/enrollees**, when state Medicaid coverage provisions conflict with the coverage provisions in this clinical policy, state Medicaid coverage provisions take precedence. Please refer to the state Medicaid manual for any coverage provisions pertaining to this clinical policy.

**Note: For Medicare members/enrollees,** to ensure consistency with the Medicare National Coverage Determinations (NCD) and Local Coverage Determinations (LCD), all applicable NCDs, LCDs, and Medicare Coverage Articles should be reviewed <u>prior to</u> applying the criteria set forth in this clinical policy. Refer to the CMS website at <a href="http://www.cms.gov">http://www.cms.gov</a> for additional information.

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